

## Wavin Supplier Sustainability Questionnaire

Suppliers are requested to provide candid answers to the following questions. Wavin encourages its suppliers to accurately identify any areas in which their operations do not conform to the requirements of the Wavin Supplier Code of Conduct. As indicated in Wavin's Supplier Code of Conduct, Wavin expects to work collaboratively with its suppliers to achieve these standards. Please be aware that the questions in "RED" are mandatory.

General			
	Item	Yes No	Brief Description
1.1	Have you signed Wavin's Supplier Code of Conduct?	<input type="checkbox"/> <input type="checkbox"/>	
1.2	Are you in compliance with Wavin's Supplier Code of Conduct	<input type="checkbox"/> <input type="checkbox"/>	If NO, please explain why not
1.3	Have you ever been cited for noncompliance of an environmental, safety, labor codes or other practices?	<input type="checkbox"/> <input type="checkbox"/>	Please elaborate
1.4	Do you publish an annual corporate report?	<input type="checkbox"/> <input type="checkbox"/>	Please indicate the website
1.5	Do you publish annual sustainability/ CSR annual report?	<input type="checkbox"/> <input type="checkbox"/>	Please indicate the website
1.6	Do you verify its compliance status by a third party?	<input type="checkbox"/> <input type="checkbox"/>	
1.7	Do you have a policy regarding secrecy of confidential information? Covering the essential business practices including HSE, HR and financial procedures?	<input type="checkbox"/> <input type="checkbox"/>	

Policies & Certification			
	Item	Yes No	Brief Description
2.1	Do you have a certified quality system according to the principles of ISO 9000?	<input type="checkbox"/> <input type="checkbox"/>	If YES, enclose a copy of the certificate (go to 2.4)
2.2	Are there plans to implement a certified quality system?	<input type="checkbox"/> <input type="checkbox"/>	If YES, go to 2.4
2.3	Do you have a quality policy?	<input type="checkbox"/> <input type="checkbox"/>	
2.4	Do you have a quality system which has been approved by any customers?	<input type="checkbox"/> <input type="checkbox"/>	If YES, state all companies within other major companies
2.5	Do you have a complaint registration system?	<input type="checkbox"/> <input type="checkbox"/>	
2.6	Can you track & trace?	<input type="checkbox"/> <input type="checkbox"/>	
2.7	Are you DVGW certified? (Water & Gas supply industry)	<input type="checkbox"/> <input type="checkbox"/>	
2.8	Do your products have quality labels? (e.g. KIWA, KQ, Gastec QA, KOMO)?	<input type="checkbox"/> <input type="checkbox"/>	If YES, please mention
2.9	Do you have any other certifications?	<input type="checkbox"/> <input type="checkbox"/>	If YES, please mention
2.10	Are you REACH compliant for Substances, Preparations & Articles? See for more info ( <a href="http://www.echa.europa.eu">www.echa.europa.eu</a> ).	<input type="checkbox"/> <input type="checkbox"/>	If YES, enclose (pre)registration summary (go to 2.12)
2.11	What are your actions to achieve REACH compliance?		
2.12	Are you certified to any ethical standards?	<input type="checkbox"/> <input type="checkbox"/>	If YES, enclose a copy of the certificate (go to 2.15)
2.13	Are there plans to become certified and when?	<input type="checkbox"/> <input type="checkbox"/>	If YES, go to 2.15
2.14	Do you have an ethical policy	<input type="checkbox"/> <input type="checkbox"/>	

2.15	Do you have any foreign suppliers?	<input type="checkbox"/> <input type="checkbox"/>	If YES, do you also require the ethical policy to your sub suppliers?
<b>Your Suppliers, Our Indirect Suppliers:</b>			
2.16	How do you ensure that the Factories of your suppliers employ adequate control of emissions from the site to the environment and that reasonable steps are taken to prevent pollution?		
2.17	How do you ensure that the factories/premises of your suppliers are safe? That risk of harm from hazardous activities or materials minimised? That safe working practices, guarding, training and safety equipment are sufficient?		
2.18	How do you ensure that all employees at your suppliers are above the local minimum working age?		
2.19	How do you ensure that Employee Remuneration, at the factories/premises of your suppliers meets the national or local minimum levels?		
2.20	Name of the person with overall responsibility for ethical issues in the supply chain.		Name:

Labor			
	Item	Yes No	Brief Description
3.1	Do you have a policy regarding discrimination on grounds of race, colour, sex, religion, political opinion, national extraction or social origin?	<input type="checkbox"/> <input type="checkbox"/>	
3.2	Do you have procedures to ensure that all employment is freely chosen and forced labour or bonded labour is never used?	<input type="checkbox"/> <input type="checkbox"/>	
3.3	Do you employ any children below 15 years of age?	<input type="checkbox"/> <input type="checkbox"/>	
3.4	Do any of your employees below 18 years of age perform any hazardous work?	<input type="checkbox"/> <input type="checkbox"/>	
3.5	Do you have any restrictions regarding employee representation/ membership in trade unions or other bona fide representatives of employees where such membership is for the purposes of constructive negotiations on employment agreements or conditions?	<input type="checkbox"/> <input type="checkbox"/>	
3.6	Do you participate in negotiations with trade unions or other bona fide representatives of employees?	<input type="checkbox"/> <input type="checkbox"/>	
3.7	What is your personnel turnover?		%

Health and Safety			
	Item	Yes No	Brief Description
4.1	Do you have a health & safety policy?	<input type="checkbox"/> <input type="checkbox"/>	
4.2	Does your company have an occupational health monitoring program in place?	<input type="checkbox"/> <input type="checkbox"/>	
4.3	Are you certified to an Occupational Health & Safety management system?	<input type="checkbox"/> <input type="checkbox"/>	Please send the verification certificate
4.4	Do you have an in-house health & safety management system?	<input type="checkbox"/> <input type="checkbox"/>	
4.5	Have you risk assessed your main health & safety risks?	<input type="checkbox"/> <input type="checkbox"/>	
4.6	What shift system do you use:		

4.7 Safety and Health Performance						
Occupational Safety	Current Year		Last Year		Before Last Year	
	Target	Actual	Target	Actual	Target	Actual
<b>Number of Fatalities</b>						
- Own Employees						
- Contractors						
<b>OSHA Recordable Rate#</b>						
- Own Employees						
- Contractors						
<b>Occupational Health</b>						
Illness Rate						
- Own Employees						

Environment						
	Item	Yes No	Brief Description			
5.1	Do you have a certified environmental management system according to the standards of ISO 14001 / EMAS?	<input type="checkbox"/> <input type="checkbox"/>	If yes, please enclose a copy of the certificate (go to 5.3)			
5.2	Are there plans to implement a certified environmental management system	<input type="checkbox"/> <input type="checkbox"/>				
5.3	Do you comply with all relevant environmental laws and permits?	<input type="checkbox"/> <input type="checkbox"/>				
5.4	Do you have a "Green-initiative?"	<input type="checkbox"/> <input type="checkbox"/>				
5.5	Do you have energy conservation program?	<input type="checkbox"/> <input type="checkbox"/>				
5.6	Do you have waste minimization program?	<input type="checkbox"/> <input type="checkbox"/>				
5.7	Do you have any on or off-site liabilities (e.g. contaminated land / groundwater) which can affect the site license to operate?	<input type="checkbox"/> <input type="checkbox"/>	If yes, please elaborate			
5.8	Do you have a risk management procedure, including assessment and appropriate control measures?	<input type="checkbox"/> <input type="checkbox"/>				
5.9	Do you have supply chain policies and procedures related to sustainability? (purchasing green products, partnering with sustainable suppliers, etc.)	<input type="checkbox"/> <input type="checkbox"/>				
5.10	Do you audit your suppliers' practices for sustainability?	<input type="checkbox"/> <input type="checkbox"/>				
5.11	Do you have a policy regarding sharing with customers relevant knowledge, expertise and experience to support development and introduction of sustainable technologies and work practices?	<input type="checkbox"/> <input type="checkbox"/>				
5.12	Do you address structurally HSE risks for the total lifecycle of its products?	<input type="checkbox"/> <input type="checkbox"/>				
5.13	Have you applied measures to minimize its environmental impact (air, land, surface water and drains) in normal operation as well as in case of failure?	<input type="checkbox"/> <input type="checkbox"/>				
5.14	<b>Environmental performance</b>					
Indicator	Current Year		Last Year		Before Last Year	
	Target	Actual	Target	Target	Actual	Target
Production Volume						
Carbon dioxide						
Sulfur dioxide						
Volatile organic carbon						
Nitrogen oxides						
Hazardous Waste						
Fresh Water consumed						

Economical				
	Item	Yes	No	Brief Description
6.1	Do you utilize any restrictions to free trade other than duly enacted national and international laws?	<input type="checkbox"/>	<input type="checkbox"/>	
6.2	Do you support efforts to increase the levels of sustainable investments in emerging markets?	<input type="checkbox"/>	<input type="checkbox"/>	
6.3	Do you apply the same standards everywhere in the world?	<input type="checkbox"/>	<input type="checkbox"/>	
6.4	Do you invest in new technologies and new ways of doing business with environmental benefits where long term profitability can be achieved?	<input type="checkbox"/>	<input type="checkbox"/>	

Business Interruption		
	Item	Brief Description
7.1	What is your spare capacity for Wavin products?	Please describe it
7.2	What is your speed of assistance to Wavin in case of production stop/ interruption?	Please describe it

**Room for remarks**

**Completed by**

Company name:			
Name:		Date:	
e-mail:			

**Approved by**

Name:		Signature:	
Date:			

**Please return to**

Wavin company	
Attn.	
Street or P.O. Box	
Postal Code & City	
Country	